

PATENT (5181-57500/P4764)

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(5181-57500) IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applic Filed:	Sept		09/672,200 27, 2000	§ §	Examiner: Group/Art Unit:	Unknown 2152		
Inventor(s): Slaughter et al				§	Atty. Dkt. No:	5181-57500		
Slat	ighter (et al		<i>w w w w</i>	the United States Postal S class mail in an envelo	orrespondence is being deposited with hervice with sufficient postage as first upe addressed to Commissioner for 20231, on the date indicated below.		
Title:			FUNCTION	§	Robe	ert C. Kowert		
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vv usiiiii	gion, L).C. 2	<i>723</i> 1			SEP 1 8 2001		
Sir:					, ,	Technology Center 2100		
	Applica	int requ	ests consideration of	the 🏻	e references listed on	the attached Form PTO-		
		_ •				raph 3. A copy of each		
		_	Form PTO-1449 is end			ruph 5. 71 copy of cuch		
1. ′	This Tue	fammati	on Disclosure Stateme	mt is s	vhmittad.			
1.	11118 1111	tormati	on Disclosure Stateme	11t 15 5	uomnuca.			
;	a.		within 3 months of the filing date of a national application other than continued prosecution application under § 1.53(d); within 3 months of the date of entry of the national stage as set forth in 1.491 in an International application;					
			before the mailing da	ate of f a firs	a first Office Action of the Action of the Action after	on the merits; or the filing of a request for		
1	b.		after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: the certification of paragraph 2 below is provided, or a fee of \$180.00 is					

enclosed.

	c.		after the mailing date of a final Office Action or a Notice of Allowar and prior to payment of the issue fee, and thus: the certification paragraph 2 below is provided and a fee of \$180.00 is enclosed.	
2.	It is he	reby certi	fied:	
		was cite	n item of information contained in this Information Disclosure Statemed in a communication from a foreign patent office in a counterpapplication not more than three months prior to the filing of int, or	part
		was cite foreign a after ma	item of information contained in the Information Disclosure Statemed in a communication from a foreign patent office in a counterpapplication or, to the knowledge of the person signing the certificat king reasonable inquiry, was known to any individual designated in more than three months prior to the filing of the Statement.	oart ion
3.			ration of the following additional information (including any co-pend loned U.S. applications, prior uses and/or sales, etc.) is requested:	ing
4.	For eac	h non-En	glish language reference listed on the attached Form PTO-1449:	
	П	reference	e is made to an English language translation submitted herewith, and/o	r
			e is made to a foreign patent office search report (in the Engle) submitted herewith, and/or	ish
			e is made to an English language translation of a foreign patent off eport submitted herewith, and/or	ice
			e is made to the concise explanation contained in the specification of application at page(s), and/or	the
		reference	e is made to the concise explanation set forth below:	
5.		Applica	nt also offers the following comments for the Examiner's consideration	1:
6.		Also end	closed is a copy of a foreign search report citing these references.	
7.		payment commun not more Statemen	ed documents were brought to the attention of the Applicant(s) at of the issue fee in the captioned case. The documents were cited in a counterpart foreign applicate than three months prior to the filing of this Information Disclosunt. Applicant(s) request this Information Disclosure Statement as Form PTO-1449 be placed in the file of the captioned application.	n a ion ure

8.	Applicant(s) requests that the Information Disclosure Statement and attached
	Form PTO-1449 and references, which are being filed before the grant of the
	patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned
	application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Conley, Rose & Tayon, P.C. Deposit Account No. 50-1505/5181-57500.

Respectfully submitted,

Robert C. Kowert Reg. No. 39,255

Attorney for Applicant(s)

CONLEY, ROSE & TAYON, P.C. P. O. Box 398 Austin, Texas 78767 (512) 476-1400

Date: <u>Sept. 14, 2001</u>

Form PTO-1449 (modified) P F List of Patents and Publications

SERIAL NO. 09/672,200

For Applicant's Information Disclosure Statement SEP 17 PPLICANT: Slaughter et al.

ATTY. DKT. NO. 5181-57500

GROUP: 2152

(Use several sheets if necessary) LELING DATE: September 27, 2000								
U.S. PATENT DOCUMENTS								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	
. =	D1	5,778,187	7/7/98	Monteiro, et al.				
	D2	5,832,529	11/3/98	Wollrath, et al.				
٠,	D3	6,016,500	1/18/00	Waldo, et al.				
		FOR	EIGN PATE	NT DOCUMENTS				
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	
	C1	11-45187	10/10/97	JP ·				
	C2	2 253 079	8/26/92	GB				
	C3	2 262 825	6/30/93	GB			. : .	
	C4	2 305 087	3/26/97	GB				
	C5	300 516	1/25/89	EP				
	C6	351 536	1/24/90	EP				
	C7	384 339	8/29/90	EP				
	C8	472 874	3/4/92	EP	·			
	C9	474 340	3/11/92	EP				
	C10	497 022	8/5/92 -	EP EP		<u> </u>		
	C11	555 997	8/18/93	EP				
	C12	565 849	10/20/93	EP			·	
	C13	569 195	11/10/93	EP				
	C14	697 655	2/21/96	EP		· · · · · · · · · · · · · · · · · · ·	·	
	C15	660 231	6/28/95	EP				
	C16	651 328	5/3/95	EP				
	C17	625 750	11/23/94	EP				
	C18	718 761	6/26/96	EP				
	C19	767 432	4/9/97	EP		•		

EXAMINER:

C20

C21

778 520

794 493

DATE CONSIDERED:

EP

EP

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

6/11/97

9/10/97

Form PTO-1449 (modificative F)

List of Patents and Publications

For Applicant's Information
Disclosure Statement, SP 1 7 201

(Use several sheets if necessary

ATTY. DKT. NO. 5181-57500

APPLICANT: Slaughter et al.

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GROUP: 2152

FOREIGN PAT	ENT DOCUMENTS
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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO		
	C22	803 810	10/29/97	EP					
	C23	803 811	10/29/97	EP		·			
	C24	805 393	11/5/97	EP					
	C25	810 524	12/3/97	EP		· · · · · · · · · · · · · · · · · · ·			
	C26	817 020	1/7/98	EP			·		
	C27	817 022	1/7/98	EP					
	C28	817 025	1/7/98	EP					
	C29	836 140	4/15/98	EP			·		
······································	C30	92/07335	4/30/92	wo					
	C31	92/09948	6/11/92	wo					
	C32	93/25962	12/23/93	wo					
	C33	94/03855	2/17/94	WO					
=	C34	96/10787	4/11/96	WO					
<u> </u>	C35	96/03692	2/8/96	WO					
	C36	96/18947	6/20/96	wo					
	C37	96/24099	8/8/96	WO					
	C38	98/02814	1/22/98	WO					
	C39	98/04971	2/5/98	WO	·				
		OTHER ART (I	including Autho	r, Title, Date, Pertinent	Pages, Etc.)				
	C40								
	C41	C41 Coulouris, et al., "Distributed Systems Concepts and Designs," Second Edition, Addison-Wesley, 1994							
	C42	Mullender, "Distributed Sys	tems," Second Ed	lition, Addison-Wesley, 19	93.	4, *			
	C43	Lindholm, et al., "The Java"	™ Virtual Machin	ne Specification," Addison	Wesley, 1996.	 			
	D4	"SOAP: Simple Object Achttp://msdn.Microsoft.com	· ·		op, Microsoft,	April 26, 2	2000,		
	D4	Rob Guth, "Sun tries on JacaSpaces for Distributed OS," August 1997, Vol. 19, Issue 34, 2 pages.							
	D6	Microsoft, "Microsoft.NE" 2000, 8 pages.	T: Realizing the	Next Generation Internet,	" A Microsoft	White Pap	er, June		

EXAMINER:

DATE CONSIDERED:

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		O-1449 (modified) P & ATTY. DKT. NO. 5181	57500	SERIAL NO. 09/672,200					
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For	Applic	cant's Information APPLICANT: Slaughte	et al.	GROOP: 2132					
Disclosure Statement (Use several sheets if necessary) FILING DATE: September 27, 2000									
(0si	D7	7 V. F. Francisco and "A Universal Information Appliance" IBM Systems Journal, Vol. 38, No. 4, 1999.							
	וע	K. F. Eustice, et al., "A Universal Information Appliance," IBM Systems Journal, Vol. 38, No. 4, 1999, Pp. 575-601.							
	D8	Wycoff et al., "T Spaces," IBM Systems Journal, Vol. 37, No. 3-Java Technology, August 1998, 36 pages.							
	D9	Steve Morgan, "Jini to the Rescue," IEEE Spectrum, April 2000, Vol. 37, No. 4, 8 pages.							
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